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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/765,051	01/28/2004	Malte Kumkar	15540-020US1

CONFIRMATION NO. 9616

## FORMALITIES LETTER



\*OC000000012937975\*

26171  
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WASHINGTON, DC 20005-3500

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney's Docket No.: 15540-020US1 / 25 216 RK/nu; Trumpf 18.00201, DS07549

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Malte Kumkar et al.

Art Unit : Unknown

Serial No. : 10/765,051

Examiner : Unknown

Filed : January 28, 2004

Title : APPARATUS FOR OPTICAL PUMPING A LASER-ACTIVE SOLID BODY

**MAIL STOP MISSING PARTS**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed June 14, 2004 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Petition for 3-Month Extension of Time with fee of \$980;
- ☒ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- ☒ A check in the total amount of \$1,110 is attached;
- ☒ A Combined Declaration and Power of Attorney (3 pages) in compliance with 37 CFR §1.63, with Karla and Wolfgang Nate, as legal representatives, executing for deceased inventor Markus Nate;
- ☒ A Power of Attorney by Assignee (2 pages);
- ☒ An Assignment (6 pages), with recordation cover sheet (1 page), and a separate check for \$40;
- ☒ A Preliminary Amendment (3 pages); and
- ☒ An Information Disclosure Statement (2 pages), with Form PTO-1449 (1 page), and a copy of the International Search Report (6 pages) and copies of 6 references.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 10/26/04

Mark R.W. Bellermann

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